

Adjust Elevator Speed Controls Risk Assessment

Business Name:	ABN:	
Business Address:		
Contact Person:	Phone:	Email:

THIS RISK ASSESSMENT IS APPROVED BY THE PCBU ON THE PROJECT

Under the Work Health and Safety Regulation (WHS Regulation), a person conducting a business or undertaking (PCBU) is required to ensure that a RISK ASSESSMENT is prepared before the proposed work starts.

Full Name:		
Signature:	Title:	Date:

CLIENT OR PRINCIPAL CONTRACTOR DETAILS

Client:	SCOPE OF WORKS
Project Name:	
Project Address:	
Project Manager:	
Contact Phone:	
Date Risk Assessment supplied to Project Manager:	

RISK MATRIX									
LIKELIHOOD	INSIGNIFICANT	MINOR	MODERATE	MAJOR	CATASTROPHIC	SCORE	ACTION	HIERARCHY OF CONTROLS	
ALMOST CERTAIN	3 HIGH	3 HIGH	4 ACUTE	4 ACUTE	4 ACUTE			Elimination Remove the hazard.	
LIKELY	2 MODERATE	3 HIGH	3 HIGH	4 ACUTE	4 ACUTE	4A ACUTE	DO NOT PROCEED	Substitution Replace the hazard.	
POSSIBLE	1 LOW	2 MODERATE	3 HIGH	4 ACUTE	4 ACUTE	3H HIGH	Review before work starts.	Isolation Isolate People from the hazard	
UNLIKELY	1 LOW	1 LOW	2 MODERATE	3 HIGH	4 ACUTE	2M MODERATE	Ensure control measures in place.	Engineering Isolate the hazard	
RARE	1 LOW	1 LOW	2 MODERATE	3 HIGH	3 HIGH	1L LOW	Monitor and keep records.	Administrative Change	
								PPE	
Risk Rating & Required Action:								Notes on Hierarchy of Controls:	
4A Stop work. The risk is intolerable. Eliminate the hazard or redesign the activity before proceeding. A Safe Work Method Statement (SWMS) or higher-level authorisation is required.								Remember to apply controls in the preferred order shown by the coloured pyramid:	
3H Review and approve additional controls before task starts. Senior supervisor sign-off needed.								1. Eliminate	
2M Ensure all nominated controls are in place and effective. Proceed with caution; monitor conditions.								2. Substitute	
1L Proceed, following standard operating procedures. Monitor and keep records.								3. Isolate	
								4. Engineering	
								5. Administrative	
								6. PPE	
Consequence Scale:								Always document why a lower-order control is accepted if elimination or substitution is not reasonably practicable.	
Consequence	People (injury/illness)		Project / Assets		Compliance / Reputation				
Catastrophic	Fatality or permanent total disability		project shutdown		Significant regulator intervention; criminal prosecution				
Major	Serious injury/illness (hospital > 5 days)		critical delay		Improvement notice; major media coverage				
Moderate	Medical-treatment injury; lost-time > 1 day		moderate delay		Minor breach; adverse client comment				
Minor	First-aid only, no lost time		negligible delay		Isolated non-conformance				
Insignificant	No injury		no schedule impact		Deviation caught and corrected on site				
								<i>aligned with Safe Work Australia's Managing the risk of fatigue at work (2023) and ISO 45001:2018 clauses 6–8.</i>	

JOB STEP	POTENTIAL HAZARDS	IR	CONTROL MEASURES	RR
SPECIFIC WORK STEPS	HAZARDS THAT MAY ARISE	INITIAL RISK	SPECIFIC MEASURES TO BE PUT IN PLACE TO ELIMINATE OR CONTROL THE RISKS	RESIDUAL RISK
1. Preparation	Poor lighting, Slippery floor surfaces in equipment area., Inadequate briefing on speed control adjustments	3H	<ul style="list-style-type: none"> - Ensure the area is well-lit before work commences. - Secure any loose cables or items that may cause trips. - Brief all personnel on the speed control adjustment procedure and risks. - Implement signage indicating wet floors or using non-slip mats. - Conduct a toolbox talk about procedure and importance of adherence. - Ensure technicians are suitably trained for the task. - Confirm that protective footwear is being worn. - Use barriers to prevent unauthorized access during work. - Validate electrical safety measures prior to work. - Verify that all necessary equipment is at hand and functional. 	2M
2. Identify Control Panel	Incorrect panel might be accessed, Electrical shock risk due to poor insulation., Restricted access to control panel.	3H	<ul style="list-style-type: none"> - Verify the panel identification labels are clear and accurate. - Cross-check control panel details with schematic diagrams. - Inspect for and address any visible insulation wear. - Ensure that only authorised personnel access the control panel. - Wear appropriate electrical gloves and PPE during inspection. - Confirm the work area is clear of obstacles and clutter. - Apply lockout-tagout devices on circuit breakers associated with the elevator. - Confirm accessibility for safe reach and operation of controls. - Restrict the work area to minimise tasked personnel. - Use insulated tools to prevent electrical contact. 	1L
3. Power Isolation	Unexpected power surge, Inadequate lockout-tagout leading to accidental re-energising., Poor communication with team causing unexpected energisation.	4A	<ul style="list-style-type: none"> - Complete lockout-tagout documentation before beginning. - Use voltage tester to confirm power has been successfully isolated. - Validate lockout-tagout devices are correctly applied and visible. - Communicate isolation status with engineering and maintenance teams. - Conduct a secondary check to ensure power isolation completeness. - Have a dedicated team member monitor the power controls. - Use danger signage to indicate live electrical maintenance is occurring. - Leave a warning notice on the main switchboard panel. 	2M

Authorised by

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7. System Re-energisation	Unexpected electrical reactions, Faulty re-connection causing fire or shock., Miscommunication regarding safe reconnection timing.	4A		2M
8. Monitoring Post Adjustment	Inadequate monitoring leading to undetected malfunctions, Misunderstood warning indicators during operation., Induced fatigue in monitoring staff.	3H		2M
9. Documentation	Incomplete or incorrect documentation leading to future errors, Misplacement of records., Secure data breaches., The complexity of data compilation.	3H		2M

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10. Clean Up & Review	Residual waste could cause trips, Cleaning chemicals left unsecured., Inaccurately noted post-adjustment reviews.	3H		1L
11. Follow-up Evaluation	Long-term oversight of adjustment effects leading to latent failures, Poor feedback loop hampering improvements., Complexity of analysis leading to skipped evaluation steps.	3H		1L

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12. Update Procedures and Policies	Outdated procedures causing inconsistencies, Miscommunication of updated policies., Lack of version control.	3H		2M
13. Retraining	Inadequate training leading to skill erosion, Losing skilled trainers results in inadequate retraining, Lack of engagement or participation in training.	3H		1L
14. Change Management	Failure to manage change causing disrupted operations, Resistance to procedural adjustments., Cultural pushback on changes.	4A		2M

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15. Incident Reporting	Inconsistent reporting of incidents, Data skew from improper categorisation., Poor data integration.	3H		1L
16. Emergency Protocol	Outdated emergency plans leading to uncoordinated response efforts, Poor communication during crisis moments., Equipment failure under critical conditions.	4A		2M

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17. Review and Feedback	Inaction on collected feedback, Poor collaboration on shared insights., Critical voices not being highlighted.	2M	<div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> </div>	1L

SAMPLE

EMERGENCY RESPONSE – CALL 000 FOR EMERGENCIES

Ensure to have an Emergency Management Plan in place as well as adequate numbers of trained first aid staff with easy access to fully stocked first aid kits, rescue equipment, material safety data sheets, adequate access to emergency communication equipment and fire-fighting equipment suitable for all classes of fire and ignition sources.

LEGISLATIVE REFERENCES

RELEVANT LEGISLATION AND CODES OF PRACTICE. DELETE THE LEGISLATIVE REFERENCES IF ANY STATE THAT ARE NOT APPLICABLE

Queensland & Australian Capital Territory

Work Health and Safety Act 2011

Work Health and Safety Regulations 2011

Legislation QLD: <https://www.worksafe.qld.gov.au/laws-and-compliance/work-health-and-safety-laws>

Codes of Practice QLD: <https://www.worksafe.qld.gov.au/laws-and-compliance/codes-of-practice>

Legislation ACT: <https://www.worksafe.act.gov.au/laws-and-compliance/acts-and-regulations>

Codes of Practice ACT: <https://www.worksafe.act.gov.au/laws-and-compliance/codes-of-practice>

New South Wales

Work Health and Safety Act 2011

Work Health and Safety Regulations 2017

Legislation NSW: <https://www.safework.nsw.gov.au/legal-obligations/legislation>

Codes of Practice NSW: <https://www.safework.nsw.gov.au/resource-library/list-codes-of-practice>

Northern Territory

Work Health and Safety (National Uniform Legislation) Act 2011

Work Health and Safety (National Uniform Legislation) Regulations 2011

Legislation NT: <https://worksafe.nt.gov.au/laws-and-compliance/workplace-safety-laws>

Codes of Practice NT: <https://worksafe.nt.gov.au/laws-and-compliance/codes-of-practice>

South Australia

Work Health and Safety Act 2012 (SA)

Work Health and Safety Regulations 2012 (SA)

Legislation for SA: <https://www.safework.sa.gov.au/resources/legislation>

Codes of Practice for SA: <https://www.safework.sa.gov.au/workplaces/codes-of-practice#COPs>

Tasmania

Work Health and Safety Act 2012

Work Health and Safety (Transitional and Consequential Provisions) Act 2012

Work Health and Safety Regulations 2012

Work Health and Safety (Transitional) Regulations 2012

Legislation for TAS: <https://worksafe.tas.gov.au/topics/laws-and-compliance/acts-and-regulations>

Codes of Practice for TAS: <https://worksafe.tas.gov.au/topics/laws-and-compliance/codes-of-practice>

Details of permits, licenses or access required by regulatory bodies (add or delete as required):

- Permits from local council
- Authorisation to commence work
- Any required documents.

Victoria

Occupational Health and Safety Act 2004

Occupational Health and Safety Regulations 2017

Legislation VIC: <https://www.worksafe.vic.gov.au/occupational-health-and-safety-act-and-regulations>

Codes of Practice VIC: <https://www.worksafe.vic.gov.au/compliance-codes-and-codes-practice>

Western Australia

Work Health and Safety Act 2020

Work Health and Safety Regulations 2022

Legislation Western Australia: <https://www.commerce.wa.gov.au/worksafe/legislation>

Codes of Practice WA: <https://www.commerce.wa.gov.au/worksafe/codes-practice>

Safe Work Australia Links

Law and Regulation (All States): <https://www.safeworkaustralia.gov.au/law-and-regulation>

Model Codes of Practice: <https://www.safeworkaustralia.gov.au/resources-publications/model-codes-of-practice>

Model Codes of Practice

- Managing noise and preventing hearing loss at work
- Confined spaces
- Labelling of workplace hazardous chemicals
- Managing risks of hazardous chemicals in the workplace
- Welding processes
- First aid in the workplace
- Managing the risk of falls at workplaces
- Hazardous manual tasks
- Managing the risk of falls in housing construction
- Managing electrical risks in the workplace
- Demolition work
- Excavation work
- Work health and safety consultation, cooperation and coordination
- Managing the work environment and facilities
- How to manage work health and safety risks
- Managing risks of plant in the workplace
- Construction work